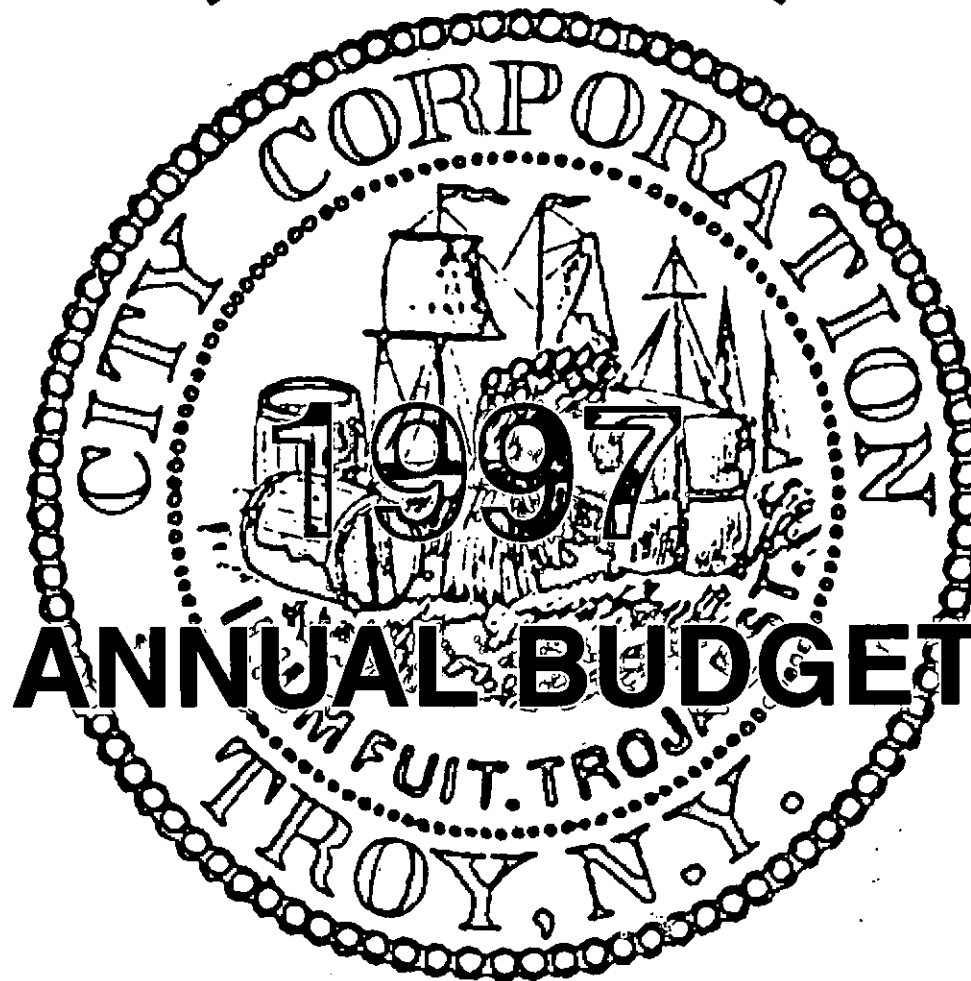


**T R O Y**



**ANNUAL BUDGET**

**MARK P. PATTISON**  
Mayor

**OWEN GOLDFARB**  
Director of Office of Management and Budget

  
**MARTIN C. DUNBAR**  
Comptroller

MIKE

**City of Troy**  
**Office of Management and Budget**  
**MEMORANDUM**  
**19 March 97**

**To:** Jim Baldwin  
Jim Conroy  
Marty Dunbar  
Tom Garrett  
Pat Morphy  
Mario Musolino  
Mark Streb  
Mark Whitman  
**From:** Owen Goldfarb  
**Subject:** Four Year Financial Plan

In December 1996 the City submitted its four year financial plan to the Financial Supervisory Board. Both the revenue and expenditure projections were reviewed by OSC staff, and the Board certified the plan.

The estimates in the plan are the result of a joint effort involving the departments, the City comptroller and OMB. As the first year of the plan is 1997, the projections for the remaining years are based on the 1997 budget assumptions modified by any predictable changes. Predictable in this context means both probable and quantifiable.

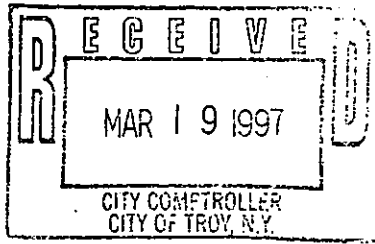
This four year plan is a 'snapshot' at the end of 1996. For instance, it does not incorporate more sales tax revenue because it is not predictable. It also does not incorporate inflation driven increases in expenditures for goods and materials.

The plan is conservative. It is essentially flat on the revenue side. A four year, \$1 million decrease in public safety expenditures in 1997 through 2000 is offset by increases in health care costs, especially for retirees.

The four year plan is a 'zero growth' plan. As such, any change in the City's operation that results in a predictable increase in expenditures must be accompanied by either a predictable increase in revenues, or by a compensating predictable decrease in expenditures. All proposed modifications to the current plan must be certified by the Financial Supervisory Board before taking effect.

The certified four year plan is attached.

cc: Mark Pattison



City of Troy  
 Four Year Financial Plan  
 Revenue and Expenditure Comparisons

16 Dec 96

General Fund	Budget		Projection		
	1996	1997	1998	1999	2000
<b>Revenues</b>					
Real Property Taxes	14,734,265	13,945,519	13,745,519	13,545,519	13,348,429
Real Property Tax Items	1,031,000	1,046,000	1,046,000	1,046,000	1,046,000
Sales Tax & Other Non Property Tax Items	8,050,000	8,065,000	8,065,000	8,065,000	8,065,000
Departmental Income	2,828,739	3,132,050	3,250,000	3,400,000	3,400,000
Intergovernmental Charges	303,380	116,380	125,000	125,000	125,000
Uses of Money & Property	-	151,500	350,000	350,000	350,000
Licenses and Permits	294,800	308,800	328,000	351,000	351,000
Fines and Forfeitures	482,000	495,000	523,000	568,000	568,000
Sale of Property and Comp for Loss	297,000	351,000	377,000	393,000	393,000
Miscellaneous	311,230	253,702	255,000	255,000	255,000
Interfund Revenue	1,628,242	1,821,000	2,000,000	2,000,000	2,000,000
State Aid	4,473,810	4,560,810	4,560,810	4,560,810	4,560,810
Federal Aid	75,000	100,000	100,000	100,000	100,000
Interfund Transfers	1,655,579	1,615,000	1,615,000	1,615,000	1,615,000
Other Sources	-	-	-	-	-
Appropriated Fund Balance	-	-	-	-	-
<b>Subtotal</b>	<b>36,165,045</b>	<b>35,961,761</b>	<b>36,340,329</b>	<b>36,374,329</b>	<b>36,177,239</b>
<b>Expenditures</b>					
General Governmental Support	4,548,846	4,631,069	4,850,000	4,850,000	4,850,000
Public Safety	18,107,972	17,241,747	16,741,895	16,541,895	16,344,805
Health	74,934	75,125	77,000	81,000	81,000
Transportation	2,374,377	2,120,673	2,200,000	2,200,000	2,200,000
Culture and Recreation	1,107,772	1,272,286	1,300,000	1,300,000	1,300,000
Home and Community Services	2,774,814	2,709,457	2,800,000	2,800,000	2,800,000
Employee Benefits (Retirees)	1,718,367	1,671,849	2,100,000	2,200,000	2,200,000
Debt Service/Provision for Capital Expenditures	5,002,153	6,014,555	6,000,000	6,000,000	6,000,000
Interfund Transfers	311,365	125,000	150,000	150,000	150,000
Contingencies	144,445	100,000	121,434	251,434	251,434
<b>Subtotal</b>	<b>36,165,045</b>	<b>35,961,761</b>	<b>36,340,329</b>	<b>36,374,329</b>	<b>36,177,239</b>
<b>Total Surplus (Deficit)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

City of Troy  
 Four Year Financial Plan  
 Revenue and Expenditure Comparisons

16 Dec 96

Water Fund	Budget		Projection		
	1996	1997	1998	1999	2000
<b>Revenues</b>					
Metered Sales	7,306,362	7,136,500	7,167,295	7,195,470	7,223,640
Unmetered Sales, Other Revenues	492,500	492,639	492,639	492,639	492,639
Appropriated Fund Balance	-	0	0	0	0
<b>Subtotal</b>	<b>7,798,862</b>	<b>7,629,139</b>	<b>7,659,934</b>	<b>7,688,109</b>	<b>7,716,279</b>
<b>Expenditures</b>					
General Governmental Support	197,631	223,130	224,635	225,374	249,534
Home and Community Services	7,019,603	6,849,291	6,917,854	6,987,435	7,050,515
Debt Service	581,628	556,718	517,445	475,300	416,230
<b>Subtotal</b>	<b>7,798,862</b>	<b>7,629,139</b>	<b>7,659,934</b>	<b>7,688,109</b>	<b>7,716,279</b>
<b>Total Surplus (Deficit)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Sewer Fund	Budget		Projection		
	1996	1997	1998	1999	2000
<b>Revenues</b>					
Sewer Rents	1,483,000	1,393,000	1,393,000	1,393,000	1,393,000
Other Revenues	61,000	61,405	61,405	61,405	61,405
Appropriated Fund Balance	0	0	0	0	0
<b>Subtotal</b>	<b>1,544,000</b>	<b>1,454,405</b>	<b>1,454,405</b>	<b>1,454,405</b>	<b>1,454,405</b>
<b>Expenditures</b>					
Home and Community Services	1,352,674	1,272,024	1,280,968	1,274,913	1,299,845
Debt Service	191,326	182,381	173,437	179,492	154,560
<b>Subtotal</b>	<b>1,544,000</b>	<b>1,454,405</b>	<b>1,454,405</b>	<b>1,454,405</b>	<b>1,454,405</b>
<b>Total Surplus (Deficit)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CITY OF TROY, NEW YORK**

**1997 ANNUAL BUDGET**

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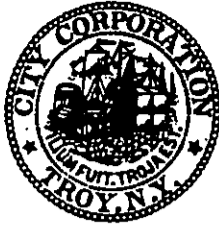
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**City of Troy**  
*Office of the Mayor*  
City Hall  
Troy, NY 12180

Mark P. Pattison  
Mayor

October 1, 1996

Honorable Elizabeth Walsh, City Council President  
Honorable Members of the City Council,

Pursuant to the Troy City Charter I am submitting to you, and to all of our fellow Trojans, my recommended budget for 1997.

This budget represents a milestone in our civic growth. It is the first budget formally submitted by a Mayor to a City Council in over thirty years. With this budget submission we are continuing on our path of reforming Troy's government, and fulfilling the promise of separate Executive and Legislative branches.

The 1997 budget is historic in other ways. This is the first honestly balanced budget presented to the people of Troy in this decade. It continues on the path of reducing costs, ends the steady string of deficit spending and holds the line on taxes. There will be no tax increase in 1997.

This budget is truly a remarkable achievement. General fund expenditures are \$200,000 less than last year and almost \$5 million less than in 1995. Expenditures from the General Fund will dip below \$36 million for the first time since 1991. It accomplishes this feat by building on our enormous successes of the past year. The 1997 Budget establishes a blueprint for fiscal discipline that will carry us into the next century with a stronger, more stable City. That renewed strength will be based on achieving three critical goals:

- ◆ Restoring Troy's Fiscal Integrity
- ◆ Making Our City Safer And Cleaner
- ◆ Reviving Troy's Economic Vitality

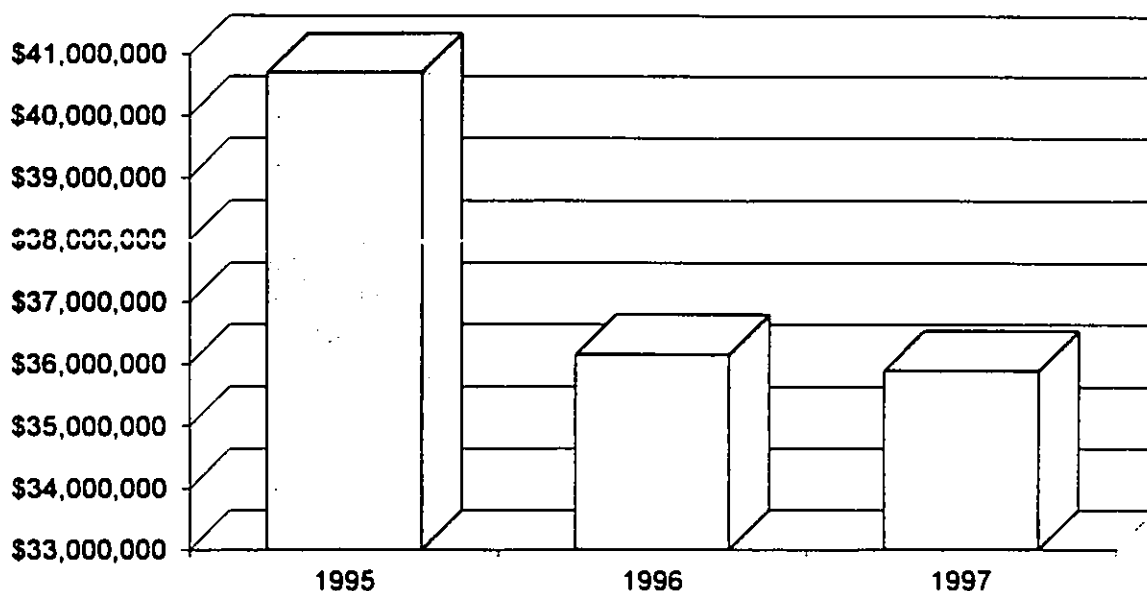
### ***Restoring Troy's Fiscal Stability***

Over the past year we have been focused on goal number one, restoring Troy's fiscal integrity. On January 1, 1996 we faced a financial crisis unprecedented in the history of this City. We were left with \$6 million in unpaid bills from 1995. The 1996 budget was out of balance by at least another \$6 million. We were confronted by the painful reality of an annual debt service payment of almost \$10 million that represented 25% our entire budget. Troy was out of money and out of time. We met that challenge through a combination of tough decisions and tough negotiations.

The first step was to stabilize our financial situation. To maintain vital services to the people of our City, we needed to continue to cut costs and we needed an immediate infusion of revenue. We did both, and began to lay the foundation for genuine fiscal integrity. Those efforts have already paid off. The 1997 budget maintains services while at the same time holding the line on the overall cost of city government. Most importantly, we have established a stable revenue base that allows us to avoid any tax increase during 1997.

***This is the first honestly balanced budget presented to the people of Troy in this decade. It continues on the path of reducing costs, ends the steady string of deficit spending and holds the line on taxes. There will be no tax increase in 1997.***

## General Fund Budget



### *Honest Budgeting*

Unlike last year's budget and those of the recent past this budget deals with the cost of running city government honestly. We are budgeting enough money to pay for items such as mandated health care costs, a full year of tipping fees, employee retirement, and utility bills. For example, in 1997, our total budget for mandated health care costs will show an increase of more than a million dollars. This increase results not only from escalating health care costs but also from the failure of last year's budget to account for the true cost of the City's health care program. While we will work with our unions to find ways to reduce this expense, this budget recognizes and fully funds the true costs. To further the goal of honest budgeting, we are not relying on questionable revenues and phony savings that cannot be achieved under existing contracts and obligations.

Within the context of a budget that reduces the cost of operating our City in 1997, this budget contains numerous innovations and targeted investments that will strengthen our fiscal oversight, increase our efficiency, and improve our capacity to deliver services to the community.

### *Improved Financial Management*

***A major initiative for fiscal 1997 will be to rebuild and improve our City's financial management systems.***

Troy's fiscal practices have been rightfully criticized in the recent past. Inadequate reserve funds and poor financial oversight have contributed to an atmosphere of financial crisis. With respect to financial management, it is an important long term fiscal objective to establish adequate reserve accounts which can cushion the blow of any unforeseen expenditures. While we cannot set aside adequate reserves at this time, we will take the first tentative steps this year by creating the appropriate accounts when savings or new revenues become available to fill them.

Recent cutbacks in the Finance Department staff have been cited by our independent auditor Bollam, Sheedy and Torani, and the Office of the State Comptroller as imperiling the City's ability to maintain strict and accurate accounting. We will strengthen the City's financial oversight by restructuring the Department of Finance into an Office of the City Comptroller. This reorganization will allow for more accurate management of the flow of funds in and out of the City's coffers.

The reorganized Department will consist of six functional bureaus: Cash Receipts, Cash Disbursements/User Charge Billings, Payroll, Real Property Tax Billing, Financial Management and Purchasing. The purpose of this realignment is to establish clear lines of accountability, and to improve the service to the public. A key component of this restructuring is the consolidation of the City Clerk's cashier function with the Treasurer's Office. Currently, each of these offices has some responsibility for the collection and tracking of various payments. The Treasurer's Office collects for payment of property and delinquent school taxes, water and recycling bills, parking tickets and deposits maintained by other City departments. The City Clerk's Office collects payments for marriage licenses, dog licenses and parking permits. By centralizing the point of collection in a single "Cash Receipts Bureau" (Treasurer's Office) there will be greater accountability and oversight.

The reorganization of the Department of Finance will be accomplished primarily through the reassignment of existing staff positions. In addition, a limited number of positions will be restored to facilitate cash management and recordkeeping. A Data Entry Clerk will be added to the Cash Receipts Bureau, and a Head Account Clerk and Senior Account Clerk will be added to establish the Cash Disbursements/User Charge Billing Bureau. The positions to be added are required to perform financial report preparations, journal entries and other financial records in support of the Uniform System of Accounting. Even with the restoration of these positions, the overall finance department staffing level is still well below the authorized strength of two years ago.

### *Information Services*

With the elimination of the joint data processing function between the City and County earlier this year we have had to begin the planning for a new Information Systems capacity within our own City government. In 1997 we will create an information systems unit that continues the effort to upgrade our information and reporting capacity and reduces costs through new technology. Next year will serve as a transition time during which we maintain a contractual relationship with the county for the operation of our core systems and begin to take on direct responsibility for our own information needs. This will require the development of a comprehensive master plan and the creation of an in-house staff to replace the functions formerly performed by County employees who were housed in City hall. This unit will be formed at no additional cost by combining the former Data Processing and Office Automation functions from last year. In order to maintain fiscal stability, the new Information Systems unit will be funded at the same levels as was budgeted for Data Processing and Office Automation combined.

### *Making Troy Safer and Cleaner*

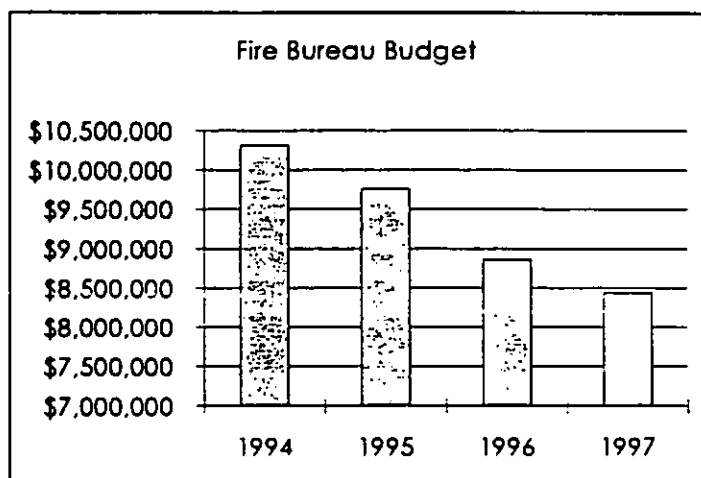
With Troy having made great strides in achieving fiscal integrity over the past year it is now time to turn our attention to the other key goals for the future. While we do not have the resources necessary for large new initiatives, this budget does allow for solid progress through consolidations, efficiencies and redeployments.

In the area of Public Safety, we will hold total spending steady while more accurately identifying the real costs of running both the Police Bureau and the Fire Bureau. Last year's budget established Public Safety Administration as a new category of expenditure. Because, in practice, the Police Bureau and the Fire Bureau operate as independent entities, the associated administrative costs have been returned to each bureau's budget for 1997. This allows a more realistic allocation of the true costs associated with maintaining each operation.

A "no growth" public safety budget will be accomplished despite the increased costs associated with previously negotiated salary increases for the police command officers and the Fire Bureau. These mandated increases will be offset by continued reduction in force strength in both the Police Bureau and the Fire Bureau.

### *Better Use of Our Firefighting Force*

As a result of ongoing downsizing brought about through successful contract negotiations, and when adjusted for the true administrative costs, next year's Fire Bureau budget will be half a million dollars less than in 1996 and \$1.3 million less than actual expenditures in 1995. In addition, as we begin our second year of operations for the ambulance service, we are projecting an increase in revenues of \$190,000. As a new initiative aimed at keeping Troy safer, the Fire Bureau will work in



partnership with the Bureau of Code Enforcement to enhance our ability to inspect residential and commercial buildings. This initiative will not only increase the quantity and quality of inspections, but also will serve to further familiarize firefighters with various buildings throughout the City.

### *Better Use of Our Police Officers*

The Police Bureau budget for 1997 shows an increase over the budgeted amount for 1996. This increase results from the allocation of true administrative costs back into the Police Bureau, as well as closing the budget gap created by the unrealistic projection of personnel savings in the 1996 budget. Despite the budgeted increase in the Police Bureau, overall force strength continues to decline. To offset the impact of that decline, federal funds have been secured to civilianize identification and record keeping functions currently performed by uniformed personnel. Two uniformed officers currently assigned to desk jobs will be redeployed to active police work. This will require the addition of two new, fully funded civilian positions; Photo Lab Technician and Account Clerk Typist. In a time of diminished resources it is imperative that sworn officers be available to perform crime fighting duties.

### *Community Policing*

The move toward civilianization of all support related functions coincides with a heightened emphasis on Community Policing. Efforts will be made to establish outreach offices in both Community Policing Target Areas. These offices will serve as a base of operations for Community Service Officers funded through the Community Development Block Grant Program. The number of police officers assigned to community policing will be increased as resources warrant. One of the many initiatives that will be undertaken by the community policing unit will be a bicycle registration program in conjunction with our neighboring communities of Green Island, Cohoes and Watervliet. As part of this effort, a computer data base will be established that will assist in identifying these bicycles as stolen when a larceny report is first entered and the property recovered.

### *Consolidations and Efficiencies*

Our efforts to make Troy cleaner will focus on increasing the productivity and decreasing the cost of public service activities. The Department of Public Works and the Department of Public Utilities have the primary responsibility for providing these public services. While each department has a different mission many of the activities they undertake, such as engineering and design work or the operation of heavy equipment, have great similarities. Over the coming year the Office of Management and Budget will work with both Departments and Labor unions to examine the possibility of merging of DPW and DPU.

***This year we will take steps to make greater use of our City employees, and thus reduce the cost of hiring outside firms, for activities which we can perform more economically.***

In the interim, wherever possible, similar functions performed by different units will be merged, consolidated or realigned. This year we will begin this process by merging the Recreation and the DPW Garage personnel. This should result in better levels of maintenance on vehicles and equipment. If this pilot effort is successful, DPU and Police garages could be merged in the future as well.

In a similar vein, a City facility maintenance Bureau will be established to oversee the care and maintenance of all city buildings. Initially this bureau will draw from DPW, DPU and Recreation staffs. Eventually the signal bureau may be consolidated as well.

We will also take steps to improve our ability to respond to complaints about stray animals in neighborhoods throughout the City. This will be accomplished by reassigning the Animal Control Officer position from the Police Bureau into the Bureau of Code Enforcement. This will help to ensure that the control of unlicensed and dangerous animals is part of a broader effort to enforce the City's ordinances.

Our City workforce represents a wealth of knowledge, talent and experience that should be tapped wherever possible. This "contracting in" approach will be used for services and projects such as the demolition of buildings, street paving, and recreation improvements. In undertaking these projects special attention will be focused on the low and moderate income neighborhoods of the City in order to improve the quality of life and maximize our use of outside funding sources such as Community Development Block Grants.

Efforts to find ways to reduce cost and improve service will be continued and expanded. Last year's successful reduction in the use of road salt will be again take place during the snow plowing season. More flexible scheduling will allow for expanded services such as street cleaning between 4 AM and 7 PM.

Finally, we will undertake a vigorous effort to measure and improve our ability to meet the needs of our citizens. A system of performance measures will be established to allow us to better evaluate our strengths and weaknesses in delivering services. A new complaint response program will also be established to ensure timely response to problems identified by residents and businesses in the City.

### ***Reviving Troy's Economic Vitality***

Troy's future lies in our ability to attract new businesses and strengthen our economic base. Troy has much to offer aspiring entrepreneurs as well as established businesses. We must build on those assets and develop a reputation as a business friendly community.

This year we will continue to take steps to improve our business climate. Perhaps the most important measures we have undertaken thus far are stabilizing our tax rate and maintaining quality services. These are critical components in any strategy to attract and retain business.

In addition, we will consolidate the Planning, Engineering and Code Enforcement staffs to provide a comprehensive permitting process for anyone interested in building or renovating space in our City. This will streamline the process and provide a single point of contact for applicants, thus reducing red tape and unnecessary duplication. This consolidation will be further enhanced by cross training personnel and by networking these operations together into a single property maintenance and Geographic Information Systems database.

***Troy has much to offer aspiring entrepreneurs as well as established businesses. We must build on those assets and develop a reputation as a business friendly community.***

Troy will also continue to seek out all avenues of available resources for economic development activities. This will allow us to maximize the opportunities for public improvements throughout the City, particularly in our target areas and economic development zone. Emphasis will be placed on federal and state funding sources such as ISTEA, CDBG, Small Cities Block grants, Environmental Facilities Corporation, the Greenway program and Enterprise Community.

In order to ensure that these efforts and possibilities benefit from the input of the community, we will establish a new federally funded position in the Planning Department. The new position of Community Participation Specialist will be responsible for providing timely information to the community and organizing the process for genuine participation.

The budget presented to the City Council outlines an ambitious agenda -- the *Hope Agenda* -- for the City of Troy. It is a lean, yet realistic fiscal plan. It does not address all of our pressing capital and equipment needs, nor does it provide for a substantial expansion of services. While this budget does not accomplish all that we need to accomplish in order to make Troy meet its full potential as a great place to live, work and raise a family, it does move us forward. And that is an accomplishment.

One year ago, as a "For Sale" sign hung on our City Hall, who would have dreamed we would have accomplished so much in so short a time? We have built a solid foundation for Troy's recovery.

Troy is on the comeback trail! While we still have a long way to travel, the path ahead is clear. It will take continued fiscal discipline and a spirit of cooperation to continue on our journey forward. I know that together we can -- and we will -- build a better, more vibrant Troy.

I am proud to submit this budget to you as another major step toward reaching that goal.

# BUDGET CERTIFICATION

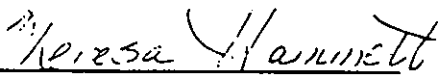
STATE OF NEW YORK )  
COUNTY OF RENSSELAER )ss:

Mark P. Pattison, being duly sworn, deposes and says, that I am the Mayor of the City of Troy New York and I make this certification pursuant to Section 5.20.3 of the Troy City Charter. I herein certify that to the best of my knowledge and belief, the within budget recommendation reflects all known or anticipated expenditures and accurately reflects all known or anticipated revenues, and is in balance between total expenditures and total revenues.

Dated: Troy, New York  
October 1, 1996

  
Mark P. Pattison

Sworn to before me this  
1<sup>st</sup> day of October 1996.

  
Notary Public

THHERESA HAMMETT  
Notary Public, State of New York  
No. 5043713  
Qualified in Rensselaer County  
Commission Expires May 15, 1997

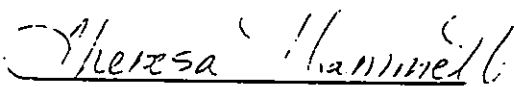
STATE OF NEW YORK )  
COUNTY OF RENSSELAER )ss:

Owen Goldfarb, being duly sworn, deposes and says, that I am the Budget Director for the City of Troy New York and I make this certification pursuant to Section 5.20.3 of the Troy City Charter. I herein certify that, to the best of my knowledge and belief, the within budget recommendation reflects all known or anticipated expenditures and accurately reflects all known or anticipated revenues, and is in balance between total expenditures and total revenues.

Dated: Troy, New York  
October 1, 1996

  
Owen Goldfarb

Sworn to before me this  
1<sup>st</sup> day of October 1996.

  
Notary Public

THHERESA HAMMETT  
Notary Public, State of New York  
No. 5043713  
Qualified in Rensselaer County  
Commission Expires May 15, 1997



1997 CITY BUDGET

City Debt:

As of October 1, 1996, the outstanding City debt is as follows:

a. General Fund:

<u>Type of Debt</u>	<u>Amount</u>
Bonds	\$10,772,700.
Bond Anticipation Notes	16,800,000.
Lease Revenue Bond	34,760,000.
Certificates of Participation	<u>4,700,000.</u>
	\$67,032,700.

b. Water Fund:

<u>Type of Debt</u>	<u>Amount</u>
Bonds	\$ 2,550,500.

c. Sewer Fund:

<u>Type of Debt</u>	<u>Amount</u>
Bonds	\$ 961,800.

d. GRAND TOTAL \$70,545,000.

**City of Troy**  
**Annual Budget - Summary of General Tax Requirements**  
**1997 Fiscal Year**

<b>I. APPROPRIATIONS - GENERAL FUND</b>		<b>35,961,761</b>
<b>II. REVENUE SOURCES</b>		
Local Revenues	13,919,432	
Interfund Revenues	1,821,000	
State Aid	4,560,810	
Federal Aid	100,000	
Interfund Transfers	1,615,000	
Appropriated Fund Balance	0	
<b>BALANCE - REVENUE REQUIRED FROM REAL PROPERTY TAXES</b>		<b>13,945,519</b>
<b>III. REAL PROPERTY TAX LEVY</b>		
Revenue Required for Appropriations	13,945,519	
Add: Provisions for Uncollectible Taxes	1,115,000	
Add: Provision for Uncollectible School Taxes	951,000	
Add: Provision for Redemption of B.A.N.'s	40,000	
Subtract: Estimated Collections - Prior Year's Taxes	800,000	
<b>TOTAL REQUIRED TAX LEVY</b>		<b>15,251,519</b>
<b>IV. ASSESSMENTS</b>		
Total Assessed Valuation	555,597,488	
Less: Exempt Valuations	292,186,094	
<b>Net Taxable Valuation</b>		<b>263,411,394</b>
<b>V. TAX RATE 1997</b>		<b>57.90</b>
1996	57.90	
1995	47.85	
1994	41.25	

City of Troy  
 Summary of Revenues  
 For the 1997 Fiscal Year

General Fund

Revenues	Actual Receipts 1995	Approved Estimates 1996	Proposed Estimates 1997
<b>Local Sources</b>	20,907,463	23,815,265	23,056,519
<b>Real Property Taxes</b>			
A1000-1001 Real Property Taxes	11,984,200	14,734,265	13,945,519
<b>Subtotal</b>	11,984,200	14,734,265	13,945,519
<b>Real Property Tax Items</b>			
A1050-1051 Gain from Sale of Acquired Prop.	0	75,000	75,000
A1050-1081 Payment in Lieu of Taxes	302,340	285,000	300,000
A1050-1081A Pymt in Lieu of Taxes Water/Sewr	300,000	421,000	421,000
A1050-1090 Interest & Penalties on Real Prop	260,471	250,000	250,000
<b>Subtotal</b>	862,811	1,031,000	1,046,000
<b>Non Property Tax Items</b>			
A1100-1110 State Adm Tax Retail Sale	2,271		
A1100-1120 Sales Tax PILOT - County	7,203,961	7,200,000	7,200,000
A1100-1130 Utilities Gross Receipts Tax	614,477	625,000	625,000
A1100-1170 Franchises	239,742	225,000	240,000
<b>Subtotal</b>	8,060,452	8,050,000	8,065,000
<b>Departmental Income</b>	2,006,427	2,828,739	3,132,050
<b>General Government</b>			
A1200-1230 Treasurer's Fees	90,897	115,000	100,000
A1200-1240 Comptroller's Fees	70	50	50
A1200-1245 Corporation Counsels Fees	0	25,000	25,000
A1200-1250 Assessor's Fees	37,157	25,000	25,000
A1200-1255 Clerk's Fees	8,857	8,500	8,500
<b>Subtotal</b>	136,981	173,550	158,550
<b>Public Safety</b>			
A1200-1520 Police Report Fees			1,000
A1200-1550 Public Pound Charges	1,868	2,000	2,000
A1200-1560 Safety Inspection Fees	29,105	28,000	30,000
A1200-1570 Demolition Charges	0	1,285	0
A1200-1589 Alarm Service Police & Fire	0	9,000	10,000
<b>Subtotal</b>	30,973	40,285	43,000
<b>Health</b>			
A1200-1603 Vital Statistics Fees	87,494	100,000	90,000
A1200-1640 Adv Life Support Medical Billing	4,575	360,000	550,000
<b>Subtotal</b>	92,069	460,000	640,000
<b>Transportation</b>			
A1200-1720 Parking Garage	393,640	328,655	385,000
A1200-1730 Parking Lots	276,931	245,174	280,000
A1200-1789 Towing Administrative Fees	21,715	34,500	35,000
<b>Subtotal</b>	692,286	608,329	700,000
<b>Culture and Recreation</b>			
A1200-2012 Recreation Concessions	39,880	40,000	25,000
A1200-2012A Recr Concessions - Knick Ice Rink	49,485	60,000	55,000
A1200-2025 Pool Fees	5,892	9,000	7,500
A1200-2050 Golf Fees	421,853	445,000	450,000
A1200-2065 Skating Rink Fees	72,883	25,000	25,000
A1200-2065A Skating Rink Fees-Knick Ice Rink	377,821	405,000	430,000
A1200-2089 Other Recreation Fees	34,125	40,000	40,000
<b>Subtotal</b>	1,001,939	1,024,000	1,032,500
<b>Home and Community Services</b>			
A1200-2130 Landfill Charges	51,013	25,000	25,000
A1200-2130A Recycling	1,166	112,500	150,000
A1200-2130B Landfill Commercial Property Fees	0	0	0
A1200-2130C Recycling Container Fee	0	382,075	380,000
A1200-2220 Civil Service Exam Fees	0	3,000	3,000
<b>Subtotal</b>	52,179	522,575	558,000

City of Troy  
 Summary of Revenues  
 For the 1997 Fiscal Year

General Fund

Revenues	Actual Receipts 1995	Approved Estimates 1996	Proposed Estimates 1997
<b>Intergovernmental Charges</b>	<b>343,558</b>	<b>303,380</b>	<b>267,880</b>
<b>General</b>			
A2200-2228 Data Processing Services	23,660	41,750	19,250
A2200-2250 Renss. Cnty-Sheriff	7,500	0	0
A2200-2280 Civil Service - School District	42,663	35,000	35,000
<b>Subtotal</b>	<b>73,823</b>	<b>76,750</b>	<b>54,250</b>
<b>Public Safety</b>			
A2200-2290 Stop DWI County	22,000	40,000	25,000
<b>Subtotal</b>	<b>22,000</b>	<b>40,000</b>	<b>25,000</b>
<b>Transportation</b>			
A2200-2300 Public Works Services	37,330	37,130	37,130
<b>Subtotal</b>	<b>37,330</b>	<b>37,130</b>	<b>37,130</b>
<b>Use of Money and Property</b>			
A2400-2401 Interest Earnings on Investments	189,797	125,000	125,000
A2400-2401A Int. Earnings from Debt Svc.	(3,528)	0	0
A2400-2410 Rental of City Owned Real Prop.	16,155	18,500	18,500
A2400-2450 Telephone Commissions	7,982	6,000	8,000
<b>Subtotal</b>	<b>210,405</b>	<b>149,500</b>	<b>151,500</b>
<b>Licenses and Permits</b>	<b>431,318</b>	<b>294,800</b>	<b>308,800</b>
<b>Licenses</b>			
A2500-2501 Business & Occupational Licenses	30,875	20,000	30,000
A2500-2502 Precious Metals Licenses	0	100	100
A2500-2540 Bingo Licenses	30,231	40,000	35,000
A2500-2541 Games of Chance Licenses	3,653	5,000	5,000
A2500-2542 Dog Licenses	7,534	8,000	8,000
A2500-2543 Amusement Licenses	0	100	100
A2500-2544 Dog Licenses Apportionment	1,066	1,500	1,500
A2500-2545 Licenses - Other	40	100	100
<b>Subtotal</b>	<b>73,398</b>	<b>74,800</b>	<b>79,800</b>
<b>Permits</b>			
A2500-2550 Loading Zone Permits	1,070	1,000	1,000
A2500-2555 Building & Alternation Permits	290,163	175,000	200,000
A2500-2560 Street Opening Permits	52,614	30,000	15,000
A2500-2565 Plumbing Permits	3,158	2,500	2,500
A2500-2570 Sign Permits	8,520	8,500	8,000
A2500-2590 Landfill Permits	775	2,000	0
A2500-2595A Handicapped Signs	1,620	1,000	2,500
<b>Subtotal</b>	<b>357,920</b>	<b>220,000</b>	<b>229,000</b>
<b>Fines and Forfeitures</b>	<b>379,320</b>	<b>482,000</b>	<b>495,000</b>
A2600-2610 Criminal Fines & Forfeit of Bail	43,464	50,000	25,000
A2600-2610A Parking Fines	147,664	155,000	155,000
A2600-2610B Traffic Fines	155,483	162,000	245,000
A2600-2610C Parking Fines - Scofflaws	686	10,000	10,000
A2600-2610D Traffic Fines - Surcharges	32,023	55,000	55,000
A2600-2620 Forfeiture of Deposits	0	50,000	5,000
<b>Subtotal</b>	<b>379,320</b>	<b>482,000</b>	<b>495,000</b>
<b>Sales of Prop. &amp; Compensation for Loss</b>	<b>365,395</b>	<b>297,000</b>	<b>351,000</b>
A2640-2655 Minor Sales - Scrap	1,195	2,000	1,000
A2640-2660 Sales of City Owned Real Property	0	0	0
A2640-2665 Sales of City Equipment	18,213	10,000	15,000
A2640-2680 Insurance Recoveries	12,451	10,000	10,000
A2640-2681 Health Insurance	333,537	275,000	325,000
<b>Subtotal</b>	<b>365,395</b>	<b>297,000</b>	<b>351,000</b>

City of Troy  
 Summary of Revenues  
 For the 1997 Fiscal Year

General Fund

Revenues	Actual Receipts 1995	Approved Estimates 1996	Proposed Estimates 1997
<b>Miscellaneous</b>	254,651	311,230	248,702
A2700-270i Refund of Prior Years Expenses	22,825	25,000	25,000
A2700-2705 Gifts & Donations	200,374	200,000	200,000
A2700-2715 Proceeds from Seized/Uncl Prop	2,876	31,230	5,000
A2700-2770 Other Unclassified Revenue	28,576	55,000	18,702
<b>Subtotal</b>	254,651	311,230	248,702
<b>Interfund Revenues</b>	1,418,897	1,628,242	1,821,000
A2800-2801A Community Development	603,801	675,000	1,000,000
A2800-2801B Community Developmt/Svce Officer	0	42,242	60,000
A2800-2801C Water Fund	550,000	550,000	550,000
A2800-2801D Sewer Fund	253,000	211,000	211,000
A2800-2801H Debt Service Fund	12,096	150,000	0
<b>Subtotal</b>	1,418,897	1,628,242	1,821,000
<b>State Aid</b>	4,824,055	4,473,810	4,560,810
<b>General Government</b>			
A3000-3001 Per Capital Revenue Sharing	3,899,100	3,515,810	3,515,810
A3000-3005 Mortgage Tax Distribution	244,844	245,000	350,000
A3000-3021 Aid to Court Facilities	12,278	25,000	25,000
A3000-3089 Other State Aid EMT	81,179		
<b>Subtotal</b>	4,237,401	3,785,810	3,890,810
<b>Public Safety</b>			
A3000-3330 Unified Court Administration	174,485	193,000	175,000
A3000-3335 Div. of Crim. Justice	4,270	0	0
<b>Subtotal</b>	178,755	193,000	175,000
<b>Transportation</b>			
A3000-3510 Highway Safety (CHIPs)	414,661	495,000	495,000
<b>Subtotal</b>	414,661	495,000	495,000
<b>OTHER STATE AID</b>			
A3000-3820 Youth Services	(6,762)	0	0
<b>Subtotal</b>	(6,762)	0	0
<b>Federal Aid</b>	77,792	75,000	100,000
<b>Public Safety</b>			
A4700-4320 COP's Ahead Program	75,000	75,000	100,000
A4700-4589 Other Transportation	2,792	0	0
<b>Subtotal</b>	77,792	75,000	100,000
<b>Interfund Transfers</b>	861,569	1,655,579	1,620,000
A5000-5031 Water Fund	800,000	1,595,579	1,595,000
A5000-5032 Special Grant Fnd/Eco Develop Zone	61,569	60,000	25,000
<b>Subtotal</b>	861,569	1,655,579	1,620,000
<b>Appropriated Fund Balance</b>	0	0	0
A8000-8018 Appropriated Fund Balance	0	0	0
<b>Subtotal</b>	0	0	0
<b>OTHER SOURCES</b>	1,247,194	0	0
A9000-9001 Other Sources	1,247,194	0	0
<b>Subtotal</b>	1,247,194		
<b>Total</b>	33,117,639	36,165,045	35,961,761

City of Troy  
 Summary of Revenues  
 For the 1997 Fiscal Year

Water Fund

Revenues	Actual Receipts 1995	Approved Estimates 1996	Proposed Estimates 1997
<b>Home and Community Service</b>			
<i>Metered Sales</i>			
F2100-2140 City of Troy	3,346,170	5,112,862	4,595,000
F2100-21401 Village of Menands	325,032	340,000	355,000
F2100-21402 Town of Brunswick	536,119	550,000	745,000
F2100-21403 City of Rens./Twn of E. Grnbush	1,097,574	1,172,000	1,256,000
F2100-21405 Town of North Greenbush	47,832	40,000	62,000
F2100-21406 Town of Schaghticoke	84,975	91,000	123,000
F2100-21407 Town of Waterford	3,793	500	500
<i>Unmetered Water Sales &amp; Other Revenue</i>			
F2100-2142 Unmetered Water Sales	10,352	4,000	4,000
F2100-2144 Water Service Charges	45,318	45,000	45,000
F2100-2148 Interest & Penalties	90,806	60,000	60,000
F2300-2378 Water Service - Other Gvt's	(5,594)	15,750	15,750
<b>Subtotal</b>	<b>5,582,377</b>	<b>7,431,112</b>	<b>7,261,250</b>
<b>Use of Money and Property</b>			
F2400-2401 Int. Earnings on Investments	50,735	5,000	25,000
F2400-2410 Rental of City Owned Real Prop.	61,993	800	800
F2400-2450 Commissions (Vending)	0	150	150
<b>Subtotal</b>	<b>112,728</b>	<b>5,950</b>	<b>25,950</b>
<b>Permits</b>			
F2500-2590 Fishing Permits	14,420	10,000	10,000
<b>Subtotal</b>	<b>14,420</b>	<b>10,000</b>	<b>10,000</b>
<b>Fines and Forfeitures</b>			
F2600-2620 Forfeiture of Deposits	0	500	500
<b>Subtotal</b>	<b>0</b>	<b>500</b>	<b>500</b>
<b>Sales of Prop.&amp; Compensation for Loss</b>			
F2640-2655 Minor Sales	19,969	20,000	20,000
F2640-2665 Sale of Equipment - Other	107	800	800
F2640-2665A Sale of Equipment - Meters	3,750	7,500	7,500
F2640-2680 Insurance Recoveries	0	1,500	1,500
F2640-2681 Health Insurance	6,402	6,500	6,500
<b>Subtotal</b>	<b>30,228</b>	<b>36,300</b>	<b>36,300</b>
<b>Miscellaneous</b>			
F2700-2701 Refund of Prior Years Expenses	643	1,000	1,000
F2700-2770 Unclassified Revenue	(1,262)	5,000	5,139
<b>Subtotal</b>	<b>(618)</b>	<b>6,000</b>	<b>6,139</b>
<b>Interfund Revenues</b>			
F2800-2801H Debt Service Fund	221,126	20,000	0
F2800-2818 Reimbursement from Sewer Fund	289,000	289,000	289,000
<b>Subtotal</b>	<b>510,126</b>	<b>309,000</b>	<b>289,000</b>
<b>Appropriated Fund Balance</b>			
F8000-8018 Appropriated Fund Balance	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>6,249,261</b>	<b>7,798,862</b>	<b>7,629,139</b>

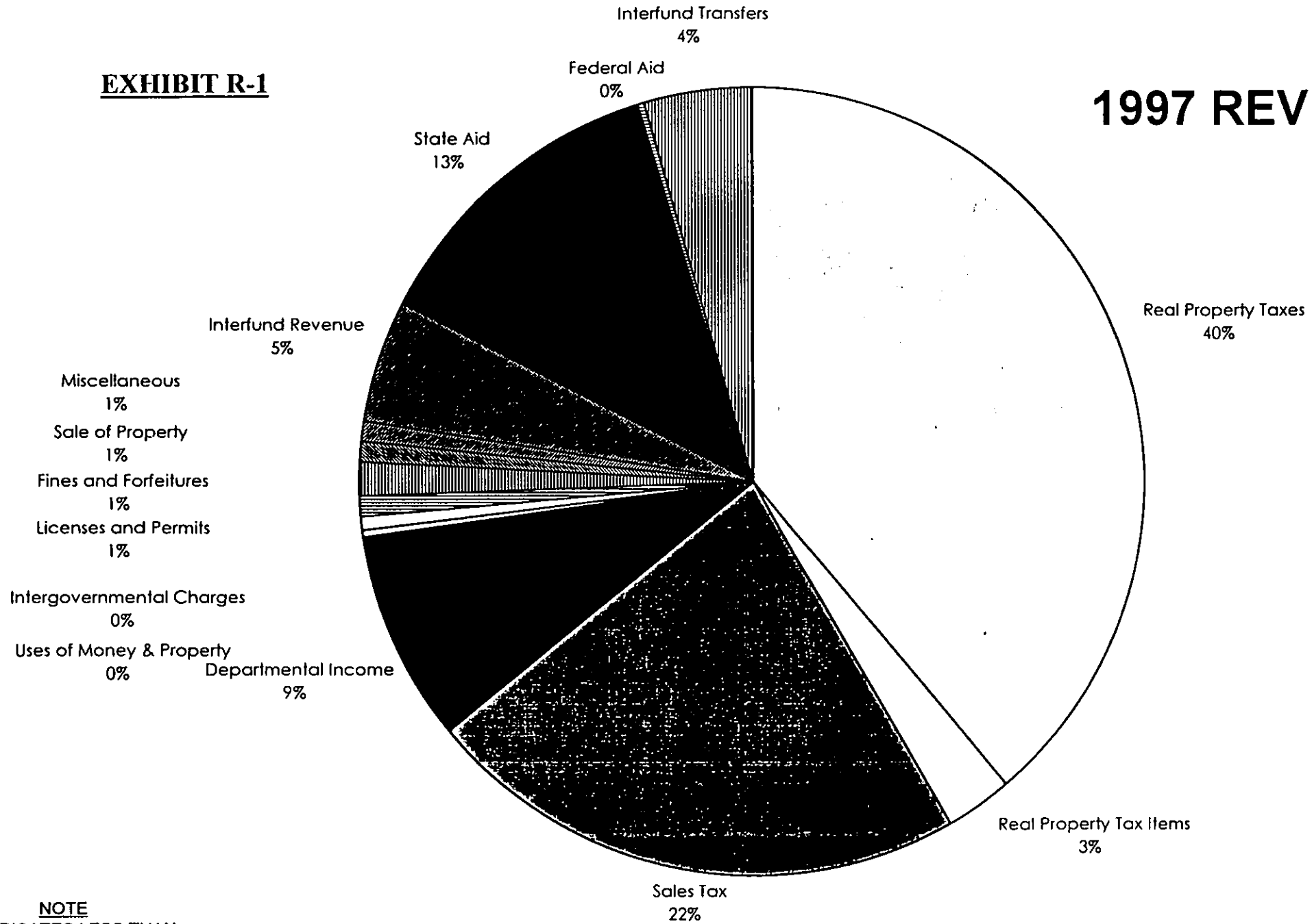
City of Troy  
 Summary of Revenues  
 For the 1997 Fiscal Year

Sewer Fund

Revenues	Actual Receipts 1995	Approved Estimates 1996	Proposed Estimates 1997
<b>Departmental Income</b>			
<b>Sewer Rents</b>			
G2100-2120 City of Troy	1,331,062	1,418,000	1,328,000
G2100-21202 Rensselaer County Sewer District	31,000	65,000	65,000
<b>Sewer Charges</b>			
G2100-2122 Sewer Service Charges	17,031	30,000	30,000
G2100-2128 Interest & Penalties	35,841	22,000	22,000
G2300-2378 Service for Other Gvt's	(138)	100	100
<b>Subtotal</b>	<b>1,414,796</b>	<b>1,535,100</b>	<b>1,445,100</b>
<b>Use of Money and Property</b>			
G2400-2401 Int. Earnings on Investments	4,156	1,000	1,000
G2400-2401A Int. Earnings from Debt Service	0	100	100
<b>Subtotal</b>	<b>4,156</b>	<b>1,100</b>	<b>1,100</b>
<b>Sales of Prop. &amp; Compensation for Loss</b>			
G2640-2681 Health Insurance	1,090	7,500	7,500
<b>Subtotal</b>	<b>1,090</b>	<b>7,500</b>	<b>7,500</b>
<b>Miscellaneous</b>			
G2700-2701 Refund of Prior Years Expenses	105	100	100
G2700-2770 Unclassified Revenue	(1)	100	505
<b>Subtotal</b>	<b>105</b>	<b>200</b>	<b>605</b>
<b>Interfund Revenues</b>			
G2800-2801H Debt Service Fund	34,633	100	100
<b>Subtotal</b>	<b>34,633</b>	<b>100</b>	<b>100</b>
<b>Appropriated Fund Balance</b>			
G8000-8018 Appropriated Fund Balance	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>1,454,779</b>	<b>1,544,000</b>	<b>1,454,405</b>

**EXHIBIT R-1**

**1997 REVENUES**



**NOTE**  
0% INDICATES LESS THAN  
\$350,000

6a



City of Troy  
 Summary of Appropriations  
 For the 1997 Fiscal Year

General Fund

Appropriations	Personal Services .1	Equipment and Capital .2	Material and Supplies .3	Contractual Services .4	Principal on Indebtedness .6	Interest on Indebtedness .7	Employee Benefits .8	Interfund Transfers .9	Contingent Account	Total
<b>General Governmental Support</b>	2,353,807	107,400	494,350	1,092,370	0	0	583,142	0	123,242	4,754,311
<b>Legislative</b>										
A1010 City Council	138,110	0	350	1,600	0	0	32,808	0	0	172,868
<b>Subtotal</b>	138,110	0	350	1,600	0	0	32,808	0	0	172,868
<b>Executive</b>										
A1210 Mayor	318,500	1,000	4,000	7,050	0	0	71,624	0	0	402,174
<b>Subtotal</b>	318,500	1,000	4,000	7,050	0	0	71,624	0	0	402,174
<b>Finance</b>										
A1315 City Comptroller	399,121	600	2,000	51,750	0	0	106,069	0	0	559,540
A1320 Audit and Accounts	35,820	0	50	300	0	0	5,328	0	0	41,498
A1321 Data Processing	58,208	105,000	21,000	241,500	0	0	4,453	0	0	430,161
A1322 Office Automation	0	0	0	0	0	0	0	0	0	0
A1325 City Treasurer	167,279	0	500	10,850	0	0	31,172	0	0	209,801
A1345 Purchasing	66,510	0	3,000	3,720	0	0	23,809	0	0	97,039
A1355 City Assessor	51,610	0	150	24,350	0	0	12,841	0	0	88,951
<b>Subtotal</b>	778,548	105,600	26,700	332,470	0	0	183,672	0	0	1,426,990
<b>Staff</b>										
A1410 City Clerk	73,752	0	1,600	3,900	0	0	17,618	0	0	96,870
A1420 Corporation Counsel	244,156	800	2,000	153,000	0	0	41,198	0	0	441,154
A1430 Civil Service	84,905	0	200	6,500	0	0	18,686	0	0	110,291
A1440 Engineering	174,475	0	800	600	0	0	49,200	0	0	225,075
A1450 Elections	34,360	0	450	6,050	0	0	0	0	0	40,860
A1490 DPW-Admin	71,252	0	650	5,900	0	0	17,119	0	0	94,921
<b>Subtotal</b>	682,900	800	5,700	175,950	0	0	143,821	0	0	1,009,171
<b>Shared Services</b>										
A1620 DPW-Facility Maintenance	187,025	0	30,600	412,200	0	0	62,911	0	0	692,736
A1640 DPW-Central Garage	248,724	0	427,000	1,600	0	0	88,306	0	0	765,630
<b>Subtotal</b>	435,749	0	457,600	413,800	0	0	151,217	0	0	1,458,366
<b>Special Items</b>										
A1910 Unallocated Insurance	0	0	0	0	0	0	0	0	0	0
A1920 Municipal Association Dues	0	0	0	6,500	0	0	0	0	0	6,500
A1930 Judgements and Claims	0	0	0	150,000	0	0	0	0	0	150,000
A1950 Taxes on Property	0	0	0	5,000	0	0	0	0	0	5,000
A1990 Contingency Account	0	0	0	0	0	0	0	0	123,242	123,242
<b>Subtotal</b>	0	0	0	161,500	0	0	0	0	123,242	284,742

City of Troy  
 Summary of Appropriations  
 For the 1997 Fiscal Year

General Fund

Appropriations	Personal Services .1	Equipment and Capital .2	Material and Supplies .3	Contractual Services .4	Principal on Indebtedness .6	Interest on Indebtedness .7	Employee Benefits .8	Interfund Transfers .9	Contingent Account	Total
<b>Public Safety</b>	11,871,273	125,200	148,800	785,500	0	0	4,287,732	0	0	17,218,505
<b>Administration</b>										
A3010 Public Safety Admin	0	0	0	0	0	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0	0	0	0	0	0
<b>Law Enforcement</b>										
A3120 Public Safety Police	5,565,215	100,000	81,500	491,800	0	0	1,851,479	0	0	8,089,994
<b>Subtotal</b>	5,565,215	100,000	81,500	491,800	0	0	1,851,479	0	0	8,089,994
<b>Traffic</b>										
A3320 DPW Traffic Control	195,937	0	24,000	43,700	0	0	65,058	0	0	328,695
<b>Subtotal</b>	195,937	0	24,000	43,700	0	0	65,058	0	0	328,695
<b>Fire Prevention &amp; Control</b>										
A3410 Public Safety Fire	5,878,012	25,200	38,500	230,200	0	0	2,287,512	0	0	8,459,424
<b>Subtotal</b>	5,878,012	25,200	38,500	230,200	0	0	2,287,512	0	0	8,459,424
<b>Other Protection</b>										
A3610 Examining Boards	4,435	0	100	0	0	0	334	0	0	4,869
A3620 DPW Code Enforcement	227,674	0	4,700	19,800	0	0	83,349	0	0	335,523
<b>Subtotal</b>	232,109	0	4,800	19,800	0	0	83,683	0	0	340,392
<b>Health</b>	54,470	0	1,100	2,020	0	0	17,535	0	0	75,125
<b>Public Health</b>										
A4020 Vital Statistics	54,470	0	1,100	2,020	0	0	17,535	0	0	75,125
<b>Subtotal</b>	54,470	0	1,100	2,020	0	0	17,535	0	0	75,125
<b>Transportation</b>	655,200	0	225,500	1,005,100	0	0	234,873	0	0	2,120,673
<b>Highway</b>										
A5110 DPW Street Maintenance	655,200	0	225,500	1,005,100	0	0	234,873	0	0	2,120,673
<b>Subtotal</b>	655,200	0	225,500	1,005,100	0	0	234,873	0	0	2,120,673
<b>Culture &amp; Recreation</b>	567,948	20,000	122,500	407,200	0	0	154,638	0	0	1,272,286
<b>Recreation</b>										
A7150 Recreation Program Facilities	211,009	0	56,000	180,200	0	0	36,775	0	0	483,984
A7310 City Mgr Youth	0	0	0	20,000	0	0	0	0	0	20,000
A7340 Recreation Maintenance	356,939	20,000	66,500	7,000	0	0	117,863	0	0	568,302
<b>Subtotal</b>	567,948	20,000	122,500	207,200	0	0	154,638	0	0	1,072,286

City of Troy  
 Summary of Appropriations  
 For the 1997 Fiscal Year

General Fund

Appropriations	Personal Services .1	Equipment and Capital .2	Material and Supplies .3	Contractual Services .4	Principal on Indebtedness .6	Interest on Indebtedness .7	Employee Benefits .8	Interfund Transfers .9	Contingent Account	Total
<b>Culture</b>										
A7410 Troy Public Library	0	0	0	200,000	0	0	0	0	0	200,000
<b>Subtotal</b>	0	0	0	200,000	0	0	0	0	0	200,000
<b>Home and Community Services</b>	790,524	0	6,825	1,618,161	0	0	293,947	0	0	2,709,457
<b>General Environment</b>										
A8020 Planning & Community Dev	282,344	0	1,000	17,300	0	0	79,633	0	0	380,277
A8021 Zoning Board & Planning Comm	25,600	0	125	2,500	0	0	2,496	0	0	30,721
<b>Subtotal</b>	307,944	0	1,125	19,800	0	0	82,129	0	0	410,998
<b>Sanitation</b>										
A8160 DPW Sanitation	482,580	0	5,700	1,569,725	0	0	211,818	0	0	2,269,823
<b>Subtotal</b>	482,580	0	5,700	1,569,725	0	0	211,818	0	0	2,269,823
<b>Natural Resources</b>										
A8745 Flood & Erosion Control	0	0	0	28,636	0	0	0	0	0	28,636
<b>Subtotal</b>	0	0	0	28,636	0	0	0	0	0	28,636
<b>Undistributed</b>	0	0	0	0	3,150,000	2,864,555	1,671,849	125,000	0	7,811,404
<b>Employee Benefits - Retirees</b>										
A9060 Hospital & Medical Ins	0	0	0	0	0	0	1,611,208	0	0	1,611,208
A9065 Dental Ins	0	0	0	0	0	0	60,641	0	0	60,641
<b>Subtotal</b>	0	0	0	0	0	0	1,671,849	0	0	1,671,849
<b>Debt Service</b>										
A9710 Serial Bonds	0	0	0	0	3,150,000	2,859,835	0	0	0	6,009,835
A9730 Bond Anticipation Notes	0	0	0	0	0	4,720	0	0	0	4,720
A9770 Revenue Anticipation Notes	0	0	0	0	0	0	0	0	0	0
A9785 Installment Purchase Debt	0	0	0	0	0	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	3,150,000	2,864,555	0	0	0	6,014,555
<b>Interfund Transfers</b>										
A9902 Transfer to Risk Retention Fund	0	0	0	0	0	0	0	125,000	0	125,000
A9950 Transfer to Capital Fund	0	0	0	0	0	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0	0	0	125,000	0	125,000
<b>Total</b>	16,293,222	252,600	999,075	4,910,351	3,150,000	2,864,555	7,243,716	125,000	123,242	35,981,761

City of Troy  
 Summary of Appropriations  
 For the 1997 Fiscal Year

Water Fund

Appropriations	Personal Services .1	Equipment and Capital .2	Material and Supplies .3	Contractual Services .4	Principal on Indebtedness .6	Interest on Indebtedness .7	Employee Benefits .8	Interfund Transfers .9	Contingent Account	Total
<b>General Governmental Support</b>	55,776	20,600	126,500	7,260	0	0	12,994	0	0	223,130
F1640 DPU Garage	55,776	20,600	126,500	7,260	0	0	12,994	0	0	223,130
<b>Subtotal</b>	55,776	20,600	126,500	7,260	0	0	12,994	0	0	223,130
<b>Home and Community Services</b>	1,866,244	61,175	522,250	2,171,650	0	0	632,972	1,595,000	0	6,849,291
F8310 DPU Administration	232,909	15,525	4,100	1,780,300	0	0	80,881	1,595,000	0	3,708,715
F8320 Water/Pumping	0	0	5,700	191,500	0	0	0	0	0	197,200
F8330 Water Purification	905,186	32,150	317,750	174,600	0	0	299,442	0	0	1,729,128
F8340 Water/ Trans and Distribution	728,149	13,500	194,700	25,250	0	0	252,649	0	0	1,214,248
<b>Subtotal</b>	1,866,244	61,175	522,250	2,171,650	0	0	632,972	1,595,000	0	6,849,291
<b>Debt Service</b>	0	0	0	0	429,100	127,618	0	0	0	556,718
F9710 Serial Bonds	0	0	0	0	429,100	127,618	0	0	0	556,718
<b>Subtotal</b>	0	0	0	0	429,100	127,618	0	0	0	556,718
<b>Total</b>	1,922,020	81,775	648,750	2,178,910	429,100	127,618	645,966	1,595,000	0	7,629,139

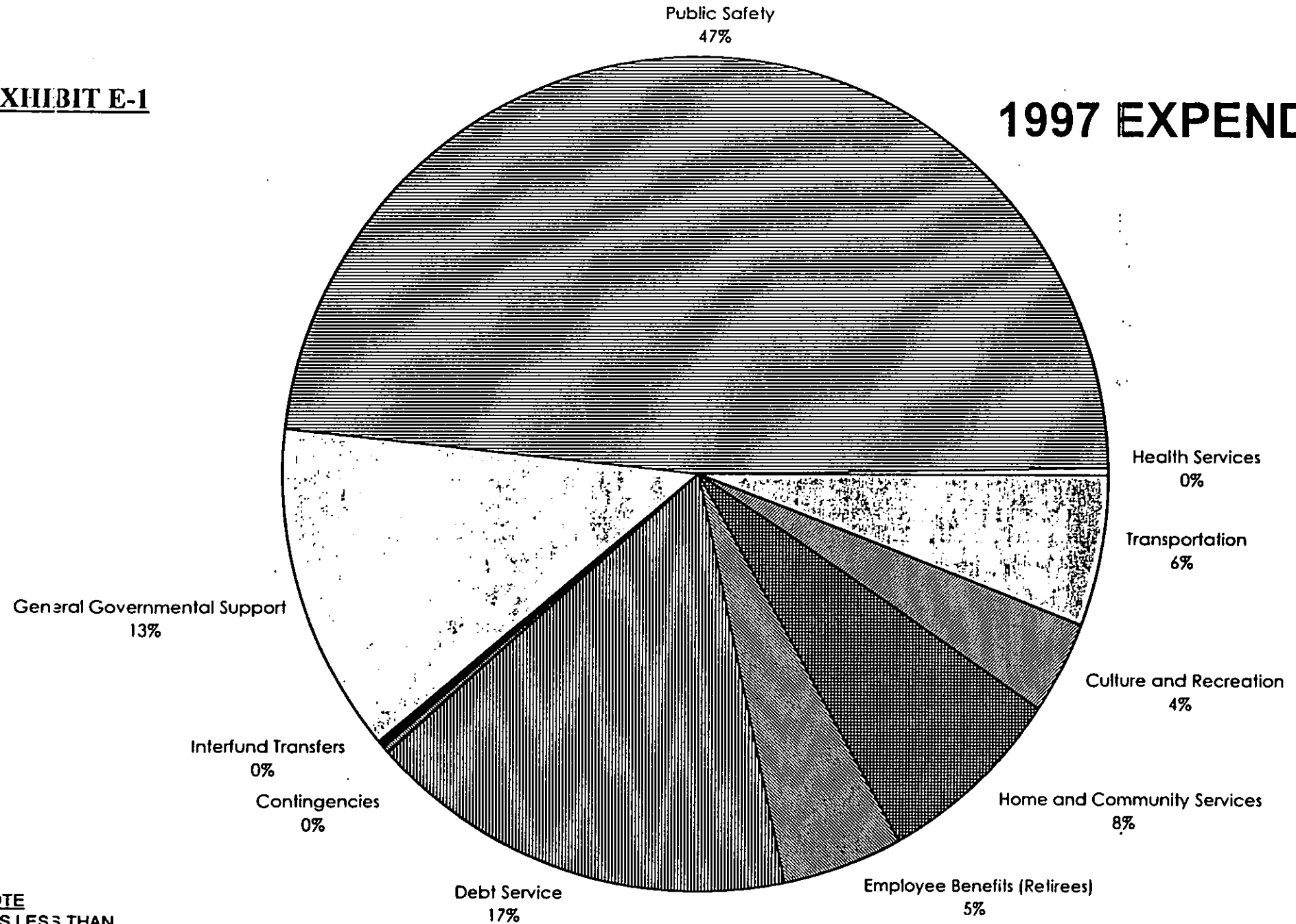
City of Troy  
 Summary of Appropriations  
 For the 1997 Fiscal Year

Sewer Fund

Appropriations	Personal Services .1	Equipment and Capital .2	Material and Supplies .3	Contractual Services .4	Principal on Indebtedness .6	Interest on Indebtedness .7	Employee Benefits .8	Interfund Transfers .9	Contingent Account	Total
<b>Home and Community Services</b>	440,037	29,200	107,000	530,900	0	0	164,887	0	0	1,272,024
G8120 Sanitary Sewers	440,037	29,200	107,000	530,900	0	0	164,887	0	0	1,272,024
<b>Subtotal</b>	440,037	29,200	107,000	530,900	0	0	164,887	0	0	1,272,024
<b>Debt Service</b>	0	0	0	0	120,600	61,781	0	0	0	182,381
G9710 Serial Bonds	0	0	0	0	120,600	61,781	0	0	0	182,381
<b>Subtotal</b>	0	0	0	0	120,600	61,781	0	0	0	182,381
<b>Total</b>	440,037	29,200	107,000	530,900	120,600	61,781	164,887	0	0	1,454,405

**EXHIBIT E-1**

**1997 EXPENDITURES**



**NOTE**  
0% INDICATES LESS THAN  
\$350,000

11a

**City of Troy - Budget Preparation for 1997  
Expenditures Summary**

MINOR CODE	MINOR DESCRIPTION	PRIOR YEAR ENCUMBRANCE	CURRENT YEAR BUDGET	--- CURRENT YEAR ENCUMBRANCES ---		REQUESTED BUDGET	CITY MAYOR REC. '97	CITY COUNCIL APPROVED '97
				FIRST 6 MONTHS	EST. LAST 6 MONTHS			
	Code 1 :	\$145,669.08	\$138,110.00	\$67,223.82	\$70,886.18	\$138,110.00	\$138,110.00	\$138,110.00
	Code 2 :	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Code 3 :	\$699.06	\$461.35	\$153.23	\$308.12	\$350.00	\$350.00	\$350.00
	Code 4 :	\$1,312.09	\$1,300.00	\$615.49	\$684.51	\$1,600.00	\$1,600.00	\$1,600.00
	Code 8 :	\$26,663.96	\$26,278.00	\$9,454.57	\$16,823.43	\$32,808.00	\$32,808.00	\$32,808.00
Subtotals for Major Code 1010 :		\$174,344.19	\$166,149.35	\$77,447.11	\$88,702.24	\$172,868.00	\$172,868.00	\$172,868.00

**Commentary:**

THE LEGISLATIVE POWER OF THE CITY OF TROY, NEW YORK IS VESTED IN THE CITY COUNCIL. THE CITY COUNCIL HAS THE POWER TO ADOPT AND AMEND LOCAL LAWS AND ORDINANCES FOR THE GOVERNMENT OF THE CITY AND THE MANAGEMENT OF ITS BUSINESS. THE PRESIDENT OF THE CITY COUNCIL IS THE PRESIDING OFFICER AT COUNCIL MEETINGS, WHICH ARE CURRENTLY HELD THE FIRST THURSDAY OF EACH MONTH.

**City of Troy - Budget Preparation for 1997**  
**Expenditures**

MINOR CODE	MINOR DESCRIPTION	PRIOR YEAR ENCUMBRANCE	CURRENT YEAR BUDGET	--- CURRENT YEAR FIRST 6 MONTHS	ENCUMBRANCES --- EST. LAST 6 MONTHS	REQUESTED BUDGET	CITY MAYOR REC. '97	CITY COUNCIL APPROVED '97
<b>Code 1:</b>								
101	SALARIES - PERMANENT	\$145,669.08	\$137,835.00	\$67,223.82	\$70,611.18	\$137,835.00	\$137,835.00	\$137,835.00
110	LONGEVITY	\$0.00	\$275.00	\$0.00	\$275.00	\$275.00	\$275.00	\$275.00
Subtotals for Code 1 :		\$145,669.08	\$138,110.00	\$67,223.82	\$70,886.18	\$138,110.00	\$138,110.00	\$138,110.00
<b>Code 2:</b>								
201	OFFICE EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subtotals for Code 2 :		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Code 3:</b>								
301	OFFICE SUPPLIES	\$699.06	\$461.35	\$153.23	\$308.12	\$350.00	\$350.00	\$350.00
Subtotals for Code 3 :		\$699.06	\$461.35	\$153.23	\$308.12	\$350.00	\$350.00	\$350.00
<b>Code 4:</b>								
402	POSTAGE	\$353.16	\$200.00	\$91.03	\$108.97	\$300.00	\$300.00	\$300.00
403	PRINTING & ADVERTISING	\$814.33	\$450.00	\$432.46	\$17.54	\$450.00	\$450.00	\$450.00
404	REPAIRS TO EQUIPMENT	\$92.00	\$150.00	\$92.00	\$58.00	\$150.00	\$150.00	\$150.00
408	DUES & SUBSCRIPTIONS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
410	TRAINING EXPENSE	\$0.00	\$0.00	\$0.00	\$0.00	\$200.00	\$200.00	\$200.00
411	TRAVEL EXPENSES	\$0.00	\$500.00	\$0.00	\$500.00	\$500.00	\$500.00	\$500.00
432	CIVIC SERVICES	\$52.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subtotals for Code 4 :		\$1,312.09	\$1,300.00	\$615.49	\$684.51	\$1,600.00	\$1,600.00	\$1,600.00
<b>Code 8:</b>								
804	PENSION & RETIREMENT	\$7,824.00	\$7,646.00	\$0.00	\$7,646.00	\$10,158.00	\$10,158.00	\$10,158.00



**City of Troy - Budget Preparation for 1997**  
Expenditures

MINOR CODE	MINOR DESCRIPTION	PRIOR YEAR ENCUMBRANCE	CURRENT YEAR BUDGET	--- CURRENT YEAR ENCUMBRANCES --- FIRST 6 MONTHS	EST. LAST 6 MONTHS	REQUESTED BUDGET	CITY MAYOR REC. '97	CITY COUNCIL APPROVED '97
805	HEALTH CARE	\$7,347.52	\$6,205.00	\$4,187.16	\$2,017.84	\$11,420.00	\$11,420.00	\$11,420.00
805B	DENTAL	\$348.79	\$332.00	\$166.14	\$165.86	\$665.00	\$665.00	\$665.00
806	SOCIAL SECURITY	\$11,143.65	\$12,095.00	\$5,101.27	\$6,993.73	\$10,565.00	\$10,565.00	\$10,565.00
	<b>Subtotals for Code 8 :</b>	<b>\$26,663.96</b>	<b>\$26,278.00</b>	<b>\$9,454.57</b>	<b>\$16,823.43</b>	<b>\$32,808.00</b>	<b>\$32,808.00</b>	<b>\$32,808.00</b>
	<b>Subtotals for Major Code 1010 :</b>	<b>\$174,344.19</b>	<b>\$166,149.35</b>	<b>\$77,447.11</b>	<b>\$88,702.24</b>	<b>\$172,868.00</b>	<b>\$172,868.00</b>	<b>\$172,868.00</b>